

Parameters of the standard model.

The standard model has 3 basic building blocks:

- i) The gauge groups and the associated gauge bosons
- ii) The fermions : the basic constituents of matter
- iii) The Higgs sector.

i) $SU(3)_C \times SU(2)_L \times U(1)_Y$

8 gluons g_s W^+, W^-, W^0 or $W^{1,2,3}$; g_2 B ; g_1

$$\mathcal{L}_G = -\frac{1}{4} (G_{a\mu\nu}^2 G^{a\mu\nu} + F_{i\mu\nu}^2 F^{i\mu\nu} + B_{\mu\nu}^2 B^{\mu\nu}) + \theta (\text{term})$$

$$F_{\mu\nu}^i = \partial_\mu W_\nu^i - \partial_\nu W_\mu^i + g_2 \epsilon^{ijk} W_\mu^j W_\nu^k$$

$$B_{\mu\nu} = \partial_\mu B_\nu - \partial_\nu B_\mu$$

$$G_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g_3 \int^{abc} A_\mu^b A_\nu^c$$

alternative: matrix notation

and if acting on a fermion

$$D_\mu \Psi = \left(\underbrace{\partial_\mu + i \frac{g_s}{2} \lambda^a A_\mu^a}_{\text{colour indices}} + i \frac{g_2}{2} \tau^i W_\mu^i + i \frac{g_1}{2} Y B_\mu \right) \Psi$$

weak isospin indices hypercharge

so up to here, 3 parameters.

Gauge bosons transform as: $G = G_\mu^a \frac{\lambda^a}{2} \rightarrow U G U^\dagger = \frac{i}{g_3} \partial_\mu U U^\dagger$

ii) Fermions: these are distinguished by their transformations under the 3 gauge groups.

	$SU(3)_c$	$SU(2)_L$	$U(1)$	
$q_L =$	3	2	1/3	} quarks
$u_R =$	3	1	4/3	
$d_R =$	3	1	-2/3	
$l_L =$	1	2	-1	} leptons
$e_R =$	1	1	-2	
$(\nu_R) =$	1	1	0	

the gauge symmetries do not allow for mass terms*

The gauge symmetry are:

$$\Psi \rightarrow U \Psi$$

and from the transformation given on the previous page one can check

$$D_\mu \Psi \rightarrow U D_\mu \Psi$$

The fermions do not introduce any new parameters*

$$q_L = \begin{pmatrix} u_L \\ d_L \end{pmatrix} \quad l_L = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}$$

and each fermion comes in 3 varieties (generations)

$$u = \text{up}(u) \quad \text{charm}(c) \quad \text{top}(t)$$

$$d = \text{down}(d) \quad \text{strange}(s) \quad \text{bottom}(b)$$

$$e = \text{electron}(e) \quad \text{muon}(\mu) \quad \text{tau}(\tau)$$

$$\nu = \nu_e \quad \nu_\mu \quad \nu_\tau$$

$$\mathcal{L}_F = i \bar{\Psi} \gamma_\mu D^\mu \Psi$$

at this level all couplings are given by the gauge symmetries, so all interactions are identical: quark-lepton universality.

We still have 3 parameters.

* The exception is that a mass term $m_R \bar{\nu}_R \nu_R$ is not forbidden by any symmetries

iii) The Higgs sector.

In this sector practically all parameters of the standard model are hidden. I will concentrate on the Higgs in its most simple form. A single doublet with no strong interactions. We believe $SU(3)_c$ to be unbroken

$$\underline{\Phi} = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \text{ is } SU(2)_L \text{ doublet and has } Y_W = +1$$

The kinetic term is $\frac{1}{2} (\mathcal{D}_\mu \underline{\Phi})^\dagger \mathcal{D}^\mu \underline{\Phi}$

Then there are interaction terms

$$-\mu^2 \underline{\Phi}^\dagger \underline{\Phi} + \lambda (\underline{\Phi}^\dagger \underline{\Phi})^2$$

2 new parameters

Now define $\tilde{\underline{\Phi}} = i \tau_2 \underline{\Phi}^* = \begin{pmatrix} \phi^{0*} \\ -\phi^- \end{pmatrix}$ is also a doublet with $Y_W = -1$

$\tilde{\underline{\Phi}}$ is the charge conjugate of $\underline{\Phi}$

Most parameters are hidden in

$$-\bar{q}_L^i \tilde{\underline{\Phi}}^j u_R^j M_u^{ij} - \bar{q}_L^i \underline{\Phi}^j d_R^j M_D^{ij} - \bar{l}_L^i \underline{\Phi}^j e_R^j M_e^{ij} + h.c.$$

(no ν_R assumed here)

at first sight this implies $2 \times 3 \times 9 = 54$ new parameters but not all of these are observable in the standard model.



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Proof

$$\begin{pmatrix} \lambda_e & & \\ & \lambda_\mu & \\ & & \lambda_\tau \end{pmatrix} = V_{1L} M_L V_{2L}^\dagger$$

\Downarrow

~~AA, BB~~

$MM^\dagger, M^\dagger M$ are both Hermitian \Rightarrow can be diagonalised

$$V_{1R} M M^\dagger V_{1R}^\dagger = \begin{pmatrix} \lambda'_e & & \\ & \lambda'_\mu & \\ & & \lambda'_\tau \end{pmatrix}$$

$$V_{2L} M^\dagger M V_{2L}^\dagger = \begin{pmatrix} \lambda''_e & & \\ & \lambda''_\mu & \\ & & \lambda''_\tau \end{pmatrix}$$

and $\begin{cases} \lambda_e, \lambda_\mu, \lambda_\tau \\ \lambda'_e, \lambda'_\mu, \lambda'_\tau \end{cases}$ are real and solutions of

$$\begin{aligned} \det(M M^\dagger - \lambda \mathbb{1}) &= 0 \\ &= \det(M^\dagger M - \lambda \mathbb{1}) = 0 \end{aligned}$$

$$\lambda'_i = \lambda''_i = \lambda_i \lambda_i^* \quad (\text{no sum})$$

you can always change the phase of λ_i by adding $V_{1L} \rightarrow V_{1L} \begin{pmatrix} e^{i\phi_1} & & \\ & e^{i\phi_2} & \\ & & e^{i\phi_3} \end{pmatrix}$

Inverse of the top \Downarrow works if V_{1L}, V_2 at the bottom are unique $\neq 0$

Standard form of mixings and masses

Any matrix can be brought into a diagonal form with real elements on the diagonal by 2 unitary matrices.

So we set:

$$\begin{pmatrix} \lambda_e & & \\ & \lambda_\mu & \\ & & \lambda_\tau \end{pmatrix} = V_{1l}^\dagger M_L V_{2l}$$

and similarly for the up and down masses.

But we now have to follow through what happens in the rest of the Lagrangian:

we now define

$$V_{1l}^\dagger \phi_{L}^i = l_{L gauge}^i$$

$$V_{2l}^\dagger e_R^i = e_{R gauge}^i$$

and the mass term becomes

$$- m_e \bar{e} e - m_\tau \bar{\tau} \tau - m_\mu \bar{\mu} \mu \quad : 3 \text{ new parameters}$$

with $\langle \phi_0 \rangle = \frac{v}{\sqrt{2}} \quad m_e = \lambda_e \frac{v}{\sqrt{2}}$

and the effect of V_1 and V_2 disappears in the kinetic terms.

For the quarks life is more difficult since we can in the same way not have 2 different transformations on q_L .

By convention we similarly remove M_u into $\lambda_u, \lambda_c, \lambda_t$ or equivalently their 3 masses.

We have then as remaining Yukawa couplings

$$- \bar{q}_L^i \tilde{\phi} u_R^j \lambda_u^i - \bar{l}_L^i \phi e_R^j \lambda_e^i - \bar{q}_L^i \underbrace{V_{1u}^\dagger V_{2d}^\dagger}_{U} \begin{pmatrix} \lambda_d \\ \lambda_s \\ \lambda_b \end{pmatrix} \begin{pmatrix} d_R^j \\ s_R^j \\ b_R^j \end{pmatrix}$$

so we now have 9 parameters + U

A general 3×3 unitary matrix contains $18 - 9 = 9 = 3$ angles + 6 phases
 of these phases 5 can be absorbed by redefining the quark fields
 so U contains 3 angles + one phase.

We now define

$$d_{L \text{ gauge}}^i = U d_{L \text{ mass}}^i \quad . \quad U = \text{Kobayashi-Maskawa Matrix}$$

so that the mass term is diagonal but then it reappears in the couplings to W's and remains in the Yukawa couplings of \bar{u}_L^i to d_R^i .

So in gauges other than unitary needs to be taken along

So we have 3 gauge couplings

$$\mu, \lambda \quad \text{or} \quad v, m_H \quad \text{or} \quad m_Z, m_H$$

9 fermion masses

3 mixings

1 CP violating parameter.

+ θ + gravitational coupling = 20 parameters

Note:

$$W_\mu^\pm = \frac{1}{\sqrt{2}} (W_\mu^1 \mp W_\mu^2)$$

$$Z_\mu = \cos \theta_W W_\mu^3 - \sin \theta_W B_\mu$$

$$A_\mu = \sin \theta_W W_\mu^3 + \cos \theta_W B_\mu$$

$$\tan \theta_W = \frac{g_1}{g_2}$$

$$\frac{M_W}{M_Z} = \cos \theta_W$$

$$v = \sqrt{\frac{\mu^2}{\lambda}}$$

$$q = \frac{1}{3} + \frac{1}{2} Y_W$$

$$M_W = \frac{v}{2} g_2$$

$$M_Z = \frac{v}{2} \sqrt{g_1^2 + g_2^2}$$

$$M_\gamma = 0$$

For later use:

$$\begin{aligned}
 S &= \bar{\psi} \psi \\
 P &= i \bar{\psi} \gamma_5 \psi \\
 V^\mu &= \bar{\psi} \gamma_\mu \psi \\
 A_\mu &= \bar{\psi} \gamma_\mu \gamma_5 \psi
 \end{aligned}$$

	C	P	T
S	S	S	S
$\bar{\psi}$		-P	-P
$-V^\mu$		V^μ	V^μ
A^μ		$-A^\mu$	A^μ
x^μ		$x^0, -x^i$	$-x^0, x^i$

$$P \psi P^{-1} = \gamma^0 \psi(x^0, -x^i)$$

$$T \psi T^{-1} = i \gamma^1 \gamma^3 \psi(-x^0, x^i)$$

$$C \psi C^{-1} = i \gamma^2 \gamma^0 \bar{\psi}^T(x) = i \gamma^2 \psi^*(x)$$

$$U = \begin{pmatrix} c_1 & -\Delta_1 c_3 & -\Delta_1 \Delta_3 \\ \Delta_1 c_2 & c_1 c_2 c_3 - \Delta_2 \Delta_3 e^{i\delta} & c_1 c_2 \Delta_3 + \Delta_2 c_3 e^{i\delta} \\ \Delta_1 \Delta_2 & c_1 \Delta_2 c_3 + c_2 \Delta_3 e^{i\delta} & c_1 \Delta_2 \Delta_3 - c_2 c_3 e^{i\delta} \end{pmatrix} \quad (\text{KM})$$

$$= \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \quad (\text{general})$$

$$= \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & \lambda^3 A(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & \lambda^2 A \\ \lambda^3 A(1 - \rho - i\eta) & -\lambda^2 A & 1 \end{pmatrix} + O(\lambda^4) \quad (\text{Wolfenstein})$$

A Majorana mass term

We have a second Lorentz invariant combination possible for a mass term.

This is using
$$V_R^c = i\gamma_2 V_R^*$$

$$\mathcal{L}_{\text{Majorana}} = -\frac{m}{2} \overline{V_R^c} V_R + \text{h.c.}$$

with m in general a complex number.

In terms of the Dirac components $\psi_{R\alpha}$ of V_R this can be written as

$$\mathcal{L}_{\text{Majorana}} = -\frac{m}{2} \psi_{R\alpha} S_{\alpha\beta} \psi_{R\beta} + \text{h.c.}$$

where $S_{\alpha\beta}$ is a symmetric real 4 by 4 Dirac matrix.

The mass m is in general a complex number but can always be made real by redefining V_R by a complex phase.

Now in neutrino physics we can make several different ansatzes.

1) Only ν_L^i exist but they can have a most general Majorana mass.

Then the lepton mass term becomes

$$- M_{DL}^{ij} \bar{\ell}_L^i \not{I} e_R^j - \frac{1}{2} M_{ML}^{ij} \overline{\nu_L^i} \nu_L^j$$

with M^{ij} a general complex matrix and M_{ML}^{ij} a complex symmetric matrix.

Exercise: show that in this case we have as free parameters

- 3 charged lepton masses
- 3 neutrino masses
- 3 mixing angles
- 3 phases

The matrix with the angles/phases is the "Pontecovo-Maki-Nakagawa-Sakata" matrix (also known as CKM)

Hint: a general complex symmetric matrix can be diagonalised with real elements on the diagonal by a unitary transformation as

$$M = U^T M_D U$$

(notice the transpose)

2) A certain number of ν_R exist (sterile or right handed neutrinos)

In this case the most general lepton mass matrix is given by

$$- M_{DL}^{ij} \bar{l}_L^i \Phi e_R^j - M_{DN}^{ij} \bar{l}_L^i \Phi \tilde{\nu}_R^j - \frac{1}{2} M_{\nu}^{ij} \begin{pmatrix} \bar{\nu}_R^i \\ \nu_R^j \end{pmatrix} + h.c.$$

The full analysis in this case is a lot more complicated, I will solve it after explaining the seesaw effect in a one-flavour setting.

Note: case 1 breaks $SU(2)_L \times U(1)_Y$ explicitly but is a very good approximation to the so-called seesaw mechanism case (see below)

The see-saw effect.

In the case of one generation the mass terms in the presence of a right-handed neutrino allowed by $SU(3)_C \times SU(2)_L \times U(1)_Y$ gauge invariance are

$$\begin{aligned}
& - g_e \bar{l}_L \not{D} e_R - g_D \bar{l}_L \not{D} \tilde{\nu}_R - \frac{m}{2} \bar{\nu}_R^c \nu_R \\
& + h.c.
\end{aligned}$$

- g_e can be made real by redefining the phase of e_R^- and $m_D = \frac{g_D v}{\sqrt{2}}$
- g_D can be made real by redefining the phase of \bar{l}_L (and adjusting the phase of e_R^- to keep g_e real)
- m can be made real by adjusting the phase of ν_R (and \bar{l}_L, e_R^- to keep the others real)

The neutrino part of the mass term now is (with $m_D = \frac{g_D v}{\sqrt{2}}$)

$$- \frac{m}{2} \bar{\nu}_L \nu_R - \frac{m}{2} \bar{\nu}_R^c \nu_R = -\frac{1}{2} \begin{pmatrix} \bar{\nu}_L & \nu_R \end{pmatrix} \begin{pmatrix} 0 & m_D \\ m_D & m \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

where on the r.h.s. I have suppressed all Dirac factors in between.

The latter matrix can be diagonalized by

$$\begin{pmatrix} 0 & m_D \\ m_D & m \end{pmatrix} = U^T \begin{pmatrix} +\frac{m}{2} \pm \frac{1}{2} \sqrt{m^2 + 4m_D^2} & 0 \\ 0 & m + \frac{1}{2} \sqrt{m^2 + 4m_D^2} \end{pmatrix} U$$

$$U = \begin{pmatrix} a & -b \\ b & a \end{pmatrix}$$

$$a = \frac{1}{\sqrt{\frac{1}{2}(m^2 + 4m_D^2) - \frac{m}{2}\sqrt{m^2 + 4m_D^2}}} \quad m_D$$

$$b = \frac{1}{\sqrt{\frac{1}{2}(m^2 + 4m_D^2) + \frac{m}{2}\sqrt{m^2 + 4m_D^2}}}$$

In the limit $m \gg m_D$ we get

$$\lambda_1 = -\frac{m_D^2}{m} + \mathcal{O}\left(\frac{1}{m^3}\right)$$

$$\lambda_2 = m + \frac{m_D^2}{m} + \mathcal{O}\left(\frac{1}{m^3}\right)$$

and the mass eigenstates are

$$V_1 = \bar{\nu}_L - \frac{m_D}{m} \nu_R + \mathcal{O}\left(\frac{1}{m^2}\right)$$

$$V_2 = \frac{m_D}{m} \bar{\nu}_R + \nu_R + \mathcal{O}\left(\frac{1}{m^2}\right)$$

So for the case $m \gg m_D$ a very good approximation is to treat it as $\nu_L, \bar{\nu}_L$ being the only degree of freedom with a Majorana mass.

This is the general case described on page 1 for 3 generations.

The general case

Start with

$$-\bar{l}_L^i M_{DL}^{ij} \phi_R^j = -\bar{l}_L^i M_{DN}^{ij} \tilde{\phi}_R^j = -\frac{1}{2} M_{M}^{ij} \bar{\nu}_L^i \nu_R^j$$

We can diagonalize M_{DL}^{ij} via $U_{DL}^\dagger M_{DL} V_{DL}$

and the neutrino mass matrix is now

$$-\frac{1}{2} \begin{pmatrix} \bar{\nu}_L^1 & \bar{\nu}_L^2 & \bar{\nu}_L^3 & \nu_R^1 & \nu_R^2 & \nu_R^3 \end{pmatrix} \begin{pmatrix} 0 & M_D \\ M_D^T & M_M \end{pmatrix} \begin{pmatrix} \nu_L^1 \\ \nu_L^2 \\ \nu_L^3 \\ \nu_R^1 \\ \nu_R^2 \\ \nu_R^3 \end{pmatrix}$$

and the full 6 by 6 mass matrix can be diagonalized by a 6 x 6 unitary matrix as

$$U_M^\dagger M_{MD} U_M = \begin{pmatrix} 0 & M_D \\ M_D^T & M_M \end{pmatrix}$$

$$U_M = \begin{pmatrix} u_1 & u_2 \\ u_3 & u_4 \end{pmatrix}$$

with u_1, u_2, u_3, u_4 3x3 matrices satisfying

$$\begin{cases} u_1 u_1^\dagger + u_2 u_2^\dagger = \mathbb{1} \\ u_3 u_3^\dagger + u_4 u_4^\dagger = \mathbb{1} \\ u_1 u_3^\dagger + u_2 u_4^\dagger = 0 \end{cases}$$

~~the~~

~~the mass eigenstates are now~~

The weak interaction states interacting with e^- , μ^- , τ^- now are

$$\begin{pmatrix} \nu_{eL} \\ \nu_{\mu L} \\ \nu_{\tau L} \end{pmatrix} = U_{DL} \left(u_1^\dagger \begin{pmatrix} \tilde{\nu}_1 \\ \tilde{\nu}_2 \\ \tilde{\nu}_3 \end{pmatrix} + u_2^\dagger \begin{pmatrix} \tilde{\nu}_R^1 \\ \tilde{\nu}_R^2 \\ \tilde{\nu}_R^3 \end{pmatrix} \right)$$

The mass eigenstates are

$$\begin{pmatrix} \tilde{\nu}_1 \\ \tilde{\nu}_2 \\ \tilde{\nu}_3 \\ \tilde{\nu}_R^1 \\ \tilde{\nu}_R^2 \\ \tilde{\nu}_R^3 \end{pmatrix} = U_M \begin{pmatrix} \tilde{\nu}_L^1 \\ \tilde{\nu}_L^2 \\ \tilde{\nu}_L^3 \\ \tilde{\nu}_R^1 \\ \tilde{\nu}_R^2 \\ \tilde{\nu}_R^3 \end{pmatrix}$$

So we have in principle 6 neutrino masses but the mixing matrix is more complicated than just unitary.

We define $\begin{cases} \tilde{u}_1 = U_{DL} u_1^\dagger \\ \tilde{u}_2 = U_{DL} u_2^\dagger \end{cases}$ and these satisfy $\tilde{u}_1^\dagger \tilde{u}_1 + \tilde{u}_2^\dagger \tilde{u}_2 = \mathbb{1}$

\tilde{u}_1, \tilde{u}_2 have 36 free parameters and satisfy 9 real relations;
3 phases can be removed by redefining the phases of the charged leptons

We started with 48 parameters in the matrices M_{DL}^{ij} , M_{DN}^{ij} , M_M^{ij}

and now have 9 masses plus 24 parameters in the mixing sector

of these 12 are mixing angles

Solution of $\tilde{u}_1^\dagger \tilde{u}_1 + \tilde{u}_2^\dagger \tilde{u}_2 = 1$

$$C \begin{matrix} \theta \\ \phi \end{matrix} C$$

$$C \begin{matrix} \theta \\ \phi \end{matrix} C$$

$$C \begin{matrix} \theta \\ \phi \end{matrix}$$

$$1 \begin{matrix} \theta \\ \phi \end{matrix} \begin{matrix} \theta \\ \phi \end{matrix}$$

$$1 \begin{matrix} \theta \\ \phi \end{matrix} \begin{matrix} \theta \\ \phi \end{matrix}$$

$$1 \begin{matrix} \theta \\ \phi \end{matrix}$$

now the $(1)_{11}$ element is secure

the first column needs to have square less than 1

6x6 unitary matrix has $\frac{6 \times 5}{2} = 15$ angles

$$C$$

$$C \begin{matrix} \theta \\ \phi \end{matrix} C$$

$$C \begin{matrix} \theta \\ \phi \end{matrix} C \begin{matrix} \theta \\ \phi \end{matrix} C$$

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For real matrices: \tilde{u}_1^\dagger and \tilde{u}_2 we have 18 parameters and 6 constraints
 \Rightarrow 12 parameters can show up.