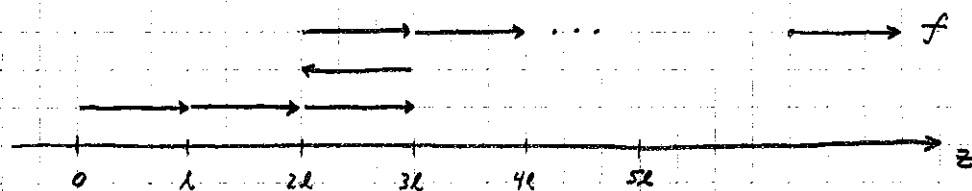


## 1D cooperative chain model



Introduce variables

$$\sigma_i = \pm 1 \quad \text{forward/backward step of length } l, \quad i=1, \dots, N$$

$$\text{End-to-end distance } z = z_N - z_0 = l \sum_{i=1}^N \sigma_i$$

Cooperativity modeled by nearest-neighbor interactions

$$\frac{U_{\text{int}}}{k_B T} = -\gamma \sum_{i=1}^{N-1} \sigma_i \sigma_{i+1} \quad \gamma = \text{stiffness or cooperativity parameter}$$

External force in the  $+z$ -direction

$$U_{\text{ext}} = -fz = -fl \sum_{i=1}^N \sigma_i \quad \Rightarrow \quad \frac{U_{\text{ext}}}{k_B T} = -\alpha \sum_{i=1}^N \sigma_i \quad \text{where } \alpha = \frac{fl}{k_B T}$$

$\alpha$  = the energy of aligning one segment with the external force in units of  $k_B T$

Partition function

$$Z = \sum_{\sigma_1=\pm 1} \sum_{\sigma_2=\pm 1} \dots \sum_{\sigma_N=\pm 1} e^{-U/k_B T} = \sum_{\sigma_1=\pm 1} \sum_{\sigma_2=\pm 1} \dots \sum_{\sigma_N=\pm 1} \exp \left\{ \alpha \sum_{i=1}^N \sigma_i + \gamma \sum_{i=1}^{N-1} \sigma_i \sigma_{i+1} \right\}$$

Average elongation

$$\langle z \rangle = \frac{\sum_{\sigma_1=\pm 1} \sum_{\sigma_2=\pm 1} \dots \sum_{\sigma_N=\pm 1} (l \sum_{i=1}^N \sigma_i) e^{-U/k_B T}}{\sum_{\sigma_1=\pm 1} \sum_{\sigma_2=\pm 1} \dots \sum_{\sigma_N=\pm 1} e^{-U/k_B T}} = l \frac{1}{Z} \frac{dZ}{d\alpha} = l \frac{d \ln Z}{d\alpha}$$

$$\frac{\langle z \rangle}{L_{\text{TOT}}} = \frac{1}{N} \frac{d \ln Z}{d\alpha} \quad L_{\text{TOT}} = Nl \quad (\text{relative extension})$$

We need to find a closed-form expression of  $Z(\alpha)$

Calculate  $Z$

$$Z = \sum_{\sigma_1 = \pm 1} \dots \sum_{\sigma_N = \pm 1} e^{\frac{\alpha}{2}\sigma_1} e^{\frac{\alpha}{2}\sigma_1 + \gamma\sigma_1\sigma_2 + \frac{\alpha}{2}\sigma_2} \dots e^{\frac{\alpha}{2}\sigma_{N-1} + \gamma\sigma_{N-1}\sigma_N + \frac{\alpha}{2}\sigma_N} e^{\frac{\alpha}{2}\sigma_N}$$

Define functions  $\begin{cases} V(\sigma) = e^{\frac{\alpha}{2}\sigma} \\ T(\sigma, \sigma') = e^{\frac{\alpha}{2}\sigma + \gamma\sigma\sigma' + \frac{\alpha}{2}\sigma'} \end{cases} \Rightarrow$

$$Z = \sum_{\sigma_1 = \pm 1} \dots \sum_{\sigma_N = \pm 1} V(\sigma_1) T(\sigma_1, \sigma_2) T(\sigma_2, \sigma_3) \dots T(\sigma_{N-1}, \sigma_N) V(\sigma_N)$$

Compare with matrix multiplication

$$\sum_{i_1} \sum_{i_2} u_{i_1} w_{i_1 i_2} u_{i_2} = u^T W u$$

$$\sum_{i_1} \sum_{i_2} \sum_{i_3} u_{i_1} w_{i_1 i_2} w_{i_2 i_3} u_{i_3} = u^T W W u$$

$\vdots$

The same for  $Z$  because  $\sigma_1, \sigma_2, \dots$  are just dummy variables

Define matrices

$$V = \begin{pmatrix} e^{\frac{\alpha}{2}} \\ e^{-\frac{\alpha}{2}} \end{pmatrix} \begin{array}{l} \leftarrow \sigma = +1 \\ \leftarrow \sigma = -1 \end{array}$$

$$T = \begin{pmatrix} e^{\alpha+\gamma} & e^{-\gamma} \\ e^{-\gamma} & e^{-\alpha+\gamma} \end{pmatrix}$$

the transfer matrix

Then

$$Z = V^T T^{N-1} V$$

## Diagonalize T

T is real and symmetric  $\Rightarrow$

$$T \bar{e}_{\pm} = \lambda_{\pm} \bar{e}_{\pm} \quad \bar{e}_{\pm} \text{ orthonormal eigenvectors } (\bar{e}_{+} \cdot \bar{e}_{-} = 0, |\bar{e}_{\pm}| = 1)$$

Express V as a linear combination of  $\bar{e}_{+}$  and  $\bar{e}_{-}$

$$V = v_{+} \bar{e}_{+} + v_{-} \bar{e}_{-} \quad \text{for some constants } v_{+} \text{ and } v_{-}$$

$$\begin{aligned} \bar{z} &= (v_{+} \bar{e}_{+} + v_{-} \bar{e}_{-}) T^{N-1} (v_{+} \bar{e}_{+} + v_{-} \bar{e}_{-}) \\ &= (v_{+} \bar{e}_{+} + v_{-} \bar{e}_{-}) (v_{+} \lambda_{+}^{N-1} \bar{e}_{+} + v_{-} \lambda_{-}^{N-1} \bar{e}_{-}) \\ &= v_{+}^2 \lambda_{+}^{N-1} + v_{-}^2 \lambda_{-}^{N-1} \end{aligned}$$

Assume  $\lambda_{+} > \lambda_{-}$  and N large

$$\ln \bar{z} = \ln v_{+}^2 + (N-1) \ln \lambda_{+}$$

$$\frac{\langle \bar{z} \rangle}{L_{\text{tot}}} = \frac{d}{d\alpha} \frac{\ln \bar{z}}{N} = \frac{d \ln \lambda_{+}}{d\alpha} \quad N \rightarrow \infty$$

Note: For large N, it is sufficient to know  $\lambda_{+}$

( $\bar{e}_{+}$ ,  $\bar{e}_{-}$ ,  $v_{+}$ ,  $v_{-}$ , and  $\lambda_{-}$  not needed.)

Find the eigenvalues of T

$$\det(T - \lambda I) = \begin{vmatrix} e^{\alpha+x} - \lambda & e^{-x} \\ e^{-x} & e^{-\alpha+x} - \lambda \end{vmatrix} = 0$$

$$(e^{\alpha+x} - \lambda)(e^{-\alpha+x} - \lambda) - e^{-2x} = 0$$

$$\lambda^2 - \lambda(e^{\alpha+x} + e^{-\alpha+x}) + e^{2x} - e^{-2x} = 0$$

$$- \lambda(e^{\alpha} + e^{-\alpha})e^x$$

$$\lambda^2 - 2\lambda \cosh \alpha e^x + e^{2x} - e^{-2x} = 0$$

$$(\lambda - e^x \cosh \alpha)^2 - \underbrace{e^{2x} \cosh^2 \alpha + e^{2x} - e^{-2x}}_{-e^{2x} \sinh^2 \alpha} = 0 \quad (\cosh^2 x - \sinh^2 x = 1)$$

$$(\lambda - e^x \cosh \alpha)^2 - e^{2x} \sinh^2 \alpha - e^{-2x} = 0$$

$$\lambda = e^x \left( \cosh \alpha \pm \sqrt{\sinh^2 \alpha + e^{-4x}} \right)$$

The relative extension

$$\frac{\langle z \rangle}{L_{TOT}} = \frac{d \ln \lambda}{dz} = \frac{\sinh \alpha + \frac{\sinh \alpha \cosh \alpha}{\sqrt{\sinh^2 \alpha + e^{-4x}}}}{\cosh \alpha + \sqrt{\sinh^2 \alpha + e^{-4x}}}$$

$$= \frac{\sinh \alpha}{\sqrt{\sinh^2 \alpha + e^{-4x}}}$$

(long-dash curve in Fig. 9.5)

### 3D random walk with an external force $f$ (3D FJC model)

$N$  steps  $\vec{a}_i$  of length  $|\vec{a}_i| = a$

The energy is  $E = -\vec{f} \cdot \vec{R}$  where  $\vec{R} = \sum_{i=1}^N \vec{a}_i$  is the end-to-end vector

Partition function

$$\begin{aligned} Z &= \int_{\vec{a}_1} d\vec{a}_1 \dots \int_{\vec{a}_N} d\vec{a}_N e^{\vec{f} \cdot \vec{R} / k_B T} = \int_{\vec{a}_1} d\vec{a}_1 \dots \int_{\vec{a}_N} d\vec{a}_N \exp\left(\vec{f} \cdot \sum_{i=1}^N \vec{a}_i / k_B T\right) \\ &= \int_{\vec{a}_1} d\vec{a}_1 \exp(\vec{f} \cdot \vec{a}_1 / k_B T) \dots \int_{\vec{a}_N} d\vec{a}_N \exp(\vec{f} \cdot \vec{a}_N / k_B T) \end{aligned}$$

Each step  $\vec{a}_i$  is independent of the other

$$Z = [Z_1]^N \quad Z_1 = \int_{\vec{a}_1} d\vec{a}_1 \exp(f a \cos \theta_1 / k_B T)$$

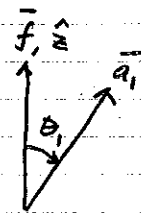
Relative extension in  $f$ -direction

$$\left\langle \frac{\vec{f} \cdot \vec{R}}{f L_{\text{tot}}} \right\rangle = \left\langle \frac{\vec{f} \cdot \sum \vec{a}_i}{f N a} \right\rangle = \left\langle \frac{f a \sum \cos \theta_i}{f N a} \right\rangle = \langle \cos \theta_1 \rangle$$

$$\langle \cos \theta_1 \rangle = \frac{\int_{\vec{a}_1} d\vec{a}_1 \cos \theta_1 \exp(f a \cos \theta_1 / k_B T)}{\int_{\vec{a}_1} d\vec{a}_1 \exp(f a \cos \theta_1 / k_B T)} = \frac{k_B T}{a} \frac{\partial \ln Z_1}{\partial f}$$

Calculate  $Z_1$ ,

$$Z_1 = \int_0^\pi d\theta_1 \int_{-\pi}^{\pi} d\phi_1 \sin\theta_1 \exp(fa \cos\theta_1 / k_B T)$$



$$dA = \sin\theta_1 d\theta_1 d\phi_1$$

Choose  $\hat{z}$  in  $\vec{f}$ -direction:

$$= 2\pi \left(-\frac{k_B T}{fa}\right) \left[ \exp(fa \cos\theta_1 / k_B T) \right]_0^\pi$$

$$= 2\pi \left(-\frac{k_B T}{fa}\right) \left( e^{-fa/k_B T} - e^{fa/k_B T} \right)$$

$$= \frac{4\pi k_B T}{fa} \sinh\left(\frac{fa}{k_B T}\right)$$

$$\frac{\partial Z_1}{\partial f} = \frac{4\pi k_B T}{a} \left( -\frac{1}{f^2} \sinh\left(\frac{fa}{k_B T}\right) + \frac{1}{f} \cosh\left(\frac{fa}{k_B T}\right) \cdot \frac{a}{k_B T} \right) \Rightarrow$$

$$\frac{\partial \ln Z_1}{\partial f} = \frac{1}{Z_1} \frac{\partial Z_1}{\partial f} = \frac{a}{k_B T} \coth\left(\frac{fa}{k_B T}\right) - \frac{1}{f}$$

Relative extension

$$\coth\left(\frac{fa}{k_B T}\right) - \frac{k_B T}{fa} \quad (\text{short-dash curve in Fig. 9.5})$$

large  $f$ :  $\langle \cos\theta_1 \rangle \rightarrow 1$       Ok.

small  $f$ :  $\langle \cos\theta_1 \rangle \rightarrow \frac{k_B T}{fa} - \frac{k_B T}{fa} \rightarrow 0$       Ok.

$$(\coth x \rightarrow \frac{1}{x} + \dots \quad x \rightarrow 0)$$

## Helix-coil transition 9.5

Amino acids (like many biomolecules) are chiral, i.e. asymmetric with respect to reflection.

Formation of  $\alpha$ -helices (which are right-handed) changes the degree of reflection asymmetry.

Measurements of the difference in absorption of right- and left-circularly polarized light (circular dichroism) can be used to detect the  $\alpha$ -helix content (and  $\beta$ -sheet content but not as accurately).

### Simple model for the helix-coil transition

Variables:

$$\sigma_i = \begin{cases} +1 & \text{if H bond between CO}(i) \text{ and NH}(i+4) \\ -1 & \text{if H bond not present} \end{cases} \quad \text{helix state}$$

$$Z = \sum_{\text{all states}} e^{-G/k_B T} \quad G = \text{free energy (include solvent effects)}$$

$$-\frac{G}{k_B T} = \alpha \sum_{i=1}^N \sigma_i + \gamma \sum_{i=1}^{N-1} \sigma_i \sigma_{i+1}$$

bias parameter cooperativity parameter

Zimm-Bragg model  
(1950s)

## Bias parameter $\alpha$

$2\alpha = -\frac{\Delta G}{k_B T}$  where  $\Delta G$  is the free energy change when a H bond is formed,  $\sigma_i = -1 \rightarrow +1$  ( $\delta = 0$ ).

$$\Delta G = \Delta E - T \Delta S$$

naive guess:  $\Delta E < 0$  and  $\Delta S < 0$   
(not necessarily so, see Fig. 9.7 on pg. 365)

$\Delta E$ : formation of a H bond  $\Rightarrow$  energy reduction

BUT, H bonds to solvent molecules break so net change  $\Delta E$  depends on solvent conditions.

( $\Delta E > 0 \Rightarrow$  random coil at low  $T$ )

$\Delta S$ : chain entropy decreases upon coil  $\rightarrow$  helix transition.

BUT, still possible that  $\Delta S > 0$  due to entropy changes in the solvent.

( $\Delta S' > 0 \Rightarrow$  helix formation favorable at high  $T$ )

$\alpha$  is temperature dependent

$$\alpha = -\frac{\Delta E - T \Delta S'}{2k_B T}$$

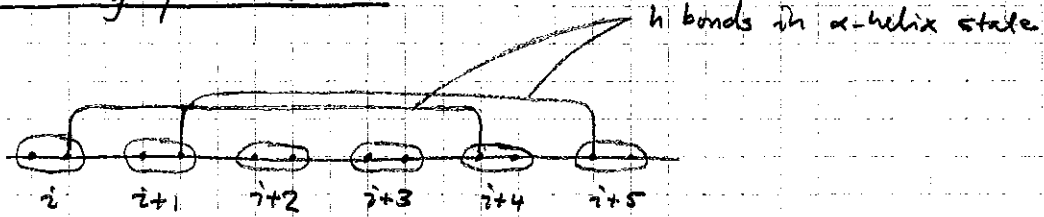
Define:  $T = T_m = \frac{\Delta E}{\Delta S'} \Rightarrow \alpha = 0$  midpoint temperature

$T < T_m \Rightarrow \alpha < 0$  coil favorable (stat. weight  $\propto e^{\alpha \sum \sigma_i}$ )  
 $\uparrow$  assume  $\Delta E > 0$  and  $\Delta S' > 0$  as in Fig. 9.7

$T > T_m \Rightarrow \alpha > 0$  helix favorable



Cooperativity parameter  $\delta$



Overlapping H bonds  $\Rightarrow$  cooperativity ( $\delta > 0$ )

Largely an entropic effect  $\Rightarrow \delta$  only weakly T dependent

End effects. Consider two different configurations with  $n$  consecutive H bonds.

$$- - - \dots - \Rightarrow - + + \dots + - \quad \Delta G/k_B T = -2na + 4\delta$$

$$- - - \dots - \Rightarrow - - + \dots + + \quad \Delta G/k_B T = -2na + 2\delta$$

Should be equivalent. Change boundary conditions.

Add two auxiliary amino acids  $\sigma_0 = \sigma_{N+1} = -1$ .

Now, helix initiation costs as much at the beginning of the chain as in the middle (as it should).

$$Z = \sum_{\sigma_1 = \pm 1} \dots \sum_{\sigma_N = \pm 1} \exp \left\{ \alpha \sum_{i=1}^N \sigma_i + \gamma \sum_{i=0}^N \sigma_i \sigma_{i+1} \right\}$$

$$= \sum_{\sigma_1 = \pm 1} \dots \sum_{\sigma_N = \pm 1} e^{-\frac{\alpha}{2} \sigma_0} e^{\frac{\alpha}{2} \sigma_0} \exp \left\{ \alpha \sum_{i=1}^N \sigma_i + \gamma \sum_{i=0}^N \sigma_i \sigma_{i+1} \right\} e^{\frac{\alpha}{2} \sigma_{N+1}} e^{-\frac{\alpha}{2} \sigma_{N+1}}$$

$$= \sum_{\sigma_1 = \pm 1} \dots \sum_{\sigma_N = \pm 1} e^{-\frac{\alpha}{2} \sigma_0} T(\sigma_0, \sigma_1) T(\sigma_1, \sigma_2) \dots T(\sigma_N, \sigma_{N+1}) e^{-\frac{\alpha}{2} \sigma_{N+1}}$$

$$= \begin{pmatrix} 0 & e^{\frac{\alpha}{2}} \end{pmatrix} T^{N+1} \begin{pmatrix} 0 \\ e^{\frac{\alpha}{2}} \end{pmatrix} ; \quad T = \begin{pmatrix} e^{\alpha+\gamma} & e^{-\gamma} \\ e^{-\gamma} & e^{-\alpha+\gamma} \end{pmatrix}$$

transfer matrix (as before)

Helix content  $\langle \theta \rangle = \frac{1}{N} \left\langle \sum_{i=1}^N \frac{1+\sigma_i}{2} \right\rangle = \frac{1}{2} + \frac{1}{2N} \left\langle \sum_{i=1}^N \sigma_i \right\rangle$

Need to calculate

$$\frac{1}{N} \left\langle \sum_{i=1}^N \sigma_i \right\rangle = \frac{1}{N} \frac{d \ln Z}{d \alpha} = \frac{d \ln \lambda_+}{d \alpha}$$

Diagonalize  $T$

⋮

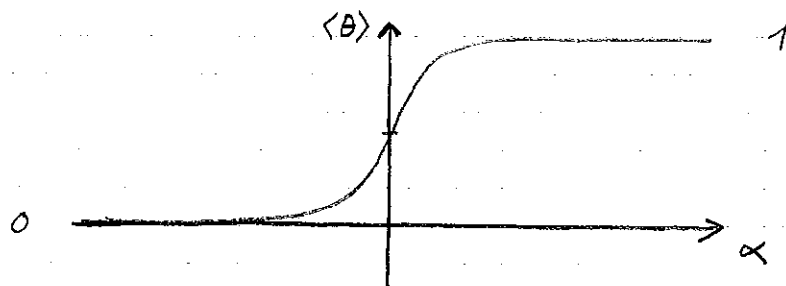
Get eigenvalues  $\lambda_+, \lambda_-$

⋮

Take the limit  $N$  large  $\Rightarrow \langle \theta \rangle = \frac{1}{2} + \frac{\sinh \alpha}{2 \sqrt{\sinh^2 \alpha + e^{-4\gamma}}}$

The transition from coil dominates ( $\alpha < 0$ ) to helix dominates ( $\alpha > 0$ )

occurs at  $T = T_m = \Delta E / \Delta S$  ( $\alpha = 0$ )



Experimentally, helix-coil transition sharp if  $N$  large:

$$\left. \frac{d\theta}{dT} \right|_{T \approx T_m} \text{ large}$$

$$\alpha \approx 0 \Rightarrow \theta \approx \frac{1}{2} + \frac{\alpha e^{2\delta}}{2}$$

$$\alpha = - \frac{\Delta G}{2k_B T} = - \frac{\Delta E - T\Delta S}{2k_B T} = \left\{ T_m = \frac{\Delta E}{\Delta S} \right\}$$

$$= - \frac{\Delta E - T_m \Delta E}{2k_B T} = - \frac{\Delta E}{2k_B} \left( \frac{1}{T} - \frac{1}{T_m} \right)$$

$$= \frac{\Delta E}{2k_B} \frac{T - T_m}{T T_m} = \left\{ T \approx T_m \right\} = \frac{\Delta E}{2k_B T_m^2} (T - T_m)$$

The slope of  $\theta(T)$  at  $T \approx T_m$ :  $\frac{1}{2} e^{2\delta} \frac{\Delta E}{2k_B T_m^2}$

The large slope could be due to:

- 1)  $\delta > 0$
- 2)  $\Delta E$  is large

It turns out that it is difficult to distinguish between the two possibilities based on large- $N$  data alone. See Fig. 9.9a, pg 371.

BUT:

$\Delta E$  large,  $\delta = 0 \Rightarrow$  no  $N$  dependence

$\delta > 0 \Rightarrow N$  dependence

The  $N$  dependence turns out to be strong, showing that  $\delta \neq 0$ .

See Fig. 9.7, pg. 365.

More generally, size dependence is a hallmark of cooperative transitions.

